

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.: 2003_1207A

First Named Inventor : Toshio YOKOYAMA et al.

Title: APPARATUS FOR AND METHOD OF PROCESSING
SUBSTRATE

Express Mail Label No.:

03916 U.S.P.T.O.
10/647157
08/26/03

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

- | | |
|--|---|
| 1. <input checked="" type="checkbox"/> Fee Transmittal Form
<i>(Submit an original, and a duplicate for fee processing)</i> | 7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or computer program (Appendix) |
| 2. <input type="checkbox"/> Small Entity Status is hereby asserted. | 8. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission
<i>(if applicable, all necessary)</i> |
| 3. <input checked="" type="checkbox"/> Specification
<i>(preferred arrangement set forth below)</i> | a. <input type="checkbox"/> Computer Readable Form |
| - Descriptive title of the Invention | b. Specification Sequence Listing on: |
| - Cross References to Related Applications | i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or |
| - Statement Regarding Fed sponsored R & D | ii. <input type="checkbox"/> Paper |
| - Reference to Sequence Listing, a table, or a computer program listing appendix. | c. <input type="checkbox"/> The paper and computer readable copies are identical |
| - Background of the Invention | |
| - Brief Summary of the Invention | |
| - Brief Description of the Drawings <i>(if filed)</i> | |
| - Detailed Description | |
| - Claim(s) | |
| - Abstract of the Disclosure | |
| 4. <input checked="" type="checkbox"/> Drawing(s) <i>(35 USC 113)</i> | <i>(Total Sheets: 17)</i> |
| 5. <input checked="" type="checkbox"/> Oath or Declaration | <i>(Total Pages : 3)</i> |
| a.1. <input checked="" type="checkbox"/> Newly executed (original or copy) | 9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s)) |
| a.2. <input type="checkbox"/> Unexecuted | 10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <i>(when there is an assignee)</i> |
| b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d))
<i>(for continuation/divisional with Box 18 completed)</i> | <input type="checkbox"/> Power of Attorney |
| i. <input type="checkbox"/> <u>DELETION OF INVENTOR(S)</u>
<i>Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</i> | 11. <input type="checkbox"/> English Translation Document <i>(if applicable)</i> |
| 6. <input type="checkbox"/> Application Data Sheet (see 37 CFR 1.76) | 12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 |
| | <input type="checkbox"/> Copies of IDS Citations |
| | 13. <input type="checkbox"/> Preliminary Amendment |
| | 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i> |
| | 15. <input checked="" type="checkbox"/> Certified Copy of Priority Document(s)
<i>(if foreign priority is claimed)</i> |
| | 16. <input type="checkbox"/> Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i).
Applicant must attach form PTO/SB/35 or its equivalent. |
| | 17. <input checked="" type="checkbox"/> Other CLAIM OF PRIORITY UNDER 35 USC 119 |

ACCOMPANYING APPLICATION PARTS

9. Assignment Papers (cover sheet & document(s))
10. 37 CFR 3.73(b) Statement *(when there is an assignee)*
- Power of Attorney
11. English Translation Document *(if applicable)*
12. Information Disclosure Statement (IDS)/PTO-1449
- Copies of IDS Citations
13. Preliminary Amendment
14. Return Receipt Postcard (MPEP 503) *(Should be specifically itemized)*
15. Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i).
Applicant must attach form PTO/SB/35 or its equivalent.
17. Other **CLAIM OF PRIORITY UNDER 35 USC 119**

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below, and in a preliminary amendment, or in an Application Data Sheet :

Continuation Divisional Continuation-in-part (CIP) of prior application No.

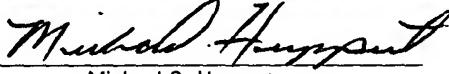
Prior Application Information: Examiner Group Art Unit

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference therein. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

CUSTOMER NO.

000513

By: 
Michael S. Huppert

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August 26, 2003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :
Toshio YOKOYAMA et al. :
Serial No. NEW : Attn: APPLICATION BRANCH
Filed August 26, 2003 : Attorney Docket No. 2003_1207A

APPARATUS FOR AND METHOD OF
PROCESSING SUBSTRATE

PATENT OFFICE FEE TRANSMITTAL FORM

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Attached hereto is a check in the amount of \$1,276.00 to cover Patent Office fees relating to filing the following attached papers:

New application \$750.00

Assignment for Recordal \$40.00

Additional Claims Fee:

Excess of Twenty \$234.00

Independent \$252.00

Multiple Dependent Fee \$

A duplicate copy of this paper is being submitted for use in the Accounting Division, Office of Finance.

The Commissioner is authorized to charge any deficiency or to credit any overpayment associated with this communication to Deposit Account No. 23-0975, with the EXCEPTION of deficiencies in fees for multiple dependent claims in new applications.

Respectfully submitted,

Toshio YOKOYAMA et al.

By Michael S. Huppert

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August 26, 2003

[Check No. 57322]
2003_1207A

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Serial No. NEW

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